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PATTERSON & SHERIDAN, LLP
ATTORNEYS AT LAW
595 SHREWSBURY AVENUE
FIRST FLOOR
SHREWSBURY, NJ 07702
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TO: COMMISSIONER OF PATENTS
FAX NO.: (571) 273-8300
FROM: KIN-WAH TONG, ESQ.
DATE: JUNE 18, 2007
MATTER: U.S. SERIAL NO. 10/734,802 FILED DECEMBER 12, 2003
DOCKET NO.: YOR920030570US1
APPLICANT: CHESS, ET AL.

THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT AND TRADEMARK OFFICE ON
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☐ DISCLOSURE STATEMENT & PTO-1449

☐ PRIORITY DOCUMENT

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☒ FACSIMILE TRANSMISSION CERTIFICATE

DATED JUNE 18, 2007

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NAME OF PERSON SIGNING THIS CERTIFICATE

Kirsten Hunter JUNE 18, 2007
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→ PTO

002/017

PTO/SB/21 (09-04)

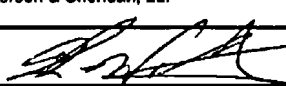
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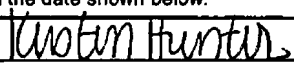
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/734,802
	Filing Date	December 12, 2003
	First Named Inventor	Chess, et al.
	Art Unit	2139
	Examiner Name	James R. Turchen
Total Number of Pages in This Submission	Attorney Docket Number	YOR920030570US1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Facsimile Transmission Notice of Recordation
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	Patterson & Sheridan, LLP		
Signature			
Printed Name	Kin-Wah Tong, Esq.		
Date	June 18, 2007	Reg. No.	39,400

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Signature			
Typed or printed name	Kirsten Hunter	Date	June 18, 2007

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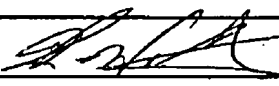
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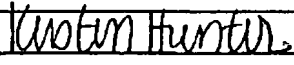
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Signature			
Printed Name	Kin-Wah Tong, Esq.		
Date	June 18, 2007	Reg. No.	39,400

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JULY 01, 2004

PTAS

MOSER, PATTERSON & SHERIDAN, LLP
KIN-WAH TONG
595 SHREWSBURY AVENUE, SUITE 100
SHREWSBURY, NJ 07702



102628975A

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RECORDATION DATE: 12/12/2003

REEL/FRAME: 014800/0434
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: YOR920030570US1

ASSIGNOR:

CHESS, DAVID M.

DOC DATE: 12/10/2003

ASSIGNOR:

PANDEY, PRASHANT

DOC DATE: 12/12/2003

ASSIGNOR:

WHALLEY, IAN N.

DOC DATE: 12/10/2003

ASSIGNOR:

WHITE, STEVE R.

DOC DATE: 12/11/2003

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES
CORPORATION
ARMONK, NEW YORK 10504

DOCKETED

JUL 08 2004

By

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JUL 06 2004

Moser, Patterson & Sheridan
New Jersey

014800/0434 PAGE 2

SERIAL NUMBER: 10734802

FILING DATE: 12/12/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: METHODS AND APPARATUS FOR ADAPTIVE SERVER REPROVISIONING UNDER
SECURITY ASSAULT

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS